

# **PROMOTING TRANSPARENCY IN SOCIAL SCIENCE RESEARCH**

## **SESSION: OPEN DATA AND PROTECTION OF RESEARCH SUBJECTS**

**DECEMBER 12-13, 2013, UC BERKELEY**

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**1. AN INTEGRATED PUBLISHING  
WORKFLOW FOR OPEN DATA**

**2. DATATAGS: SHARING EVEN  
SENSITIVE DATA WITH CONFIDENCE**

# AN INTEGRATED PUBLISHING WORKFLOW FOR OPEN DATA

Enabling data deposit to a public repository  
as part of article's submission to a journal



PKP  
PUBLIC  
KNOWLEDGE  
PROJECT



<http://projects.iq.harvard.edu/ojs-dvn>

# Journal's Publishing System

## Article Submission Workflow

Plugin for Data Deposit

- 1) Enter citation metadata
- 2) Select data Files

Insert Data Citation to References section

# Open Data Repository

## Data Sharing Workflow

Dataset (metadata + data) in review, not released

Dataset (metadata + data) released

Deposit metadata + data files

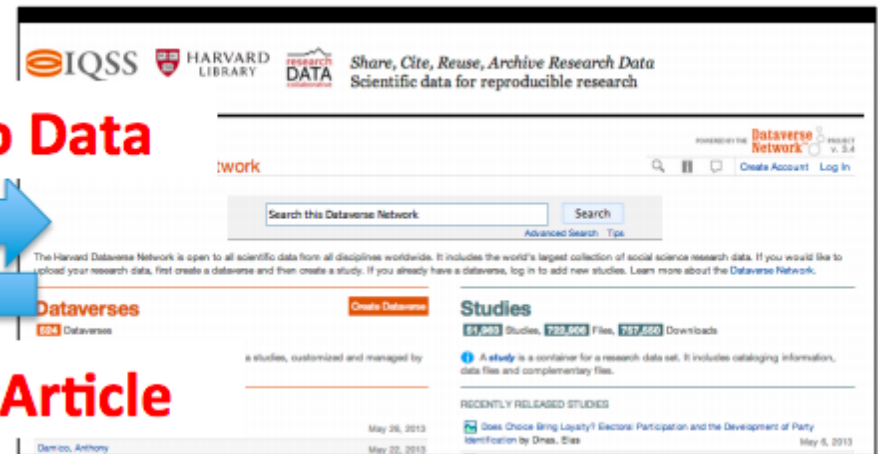
Get data citation

Release data when article is published

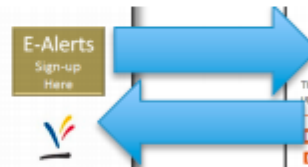
# Pilot Project: Integrate PKP's Open Journal System (OJS) with Dataverse

OJS Journal

Harvard Dataverse Network



Citation to Data



Citation to Article

OJS plugin for:  
Data + metadata + supporting files,  
sent via SWORD API to the Dataverse

# Published Article + Link to Data

## Dataverse Demo Journal








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Home > Vol 1, No 1 (2012) > **Klockmo**

### Moving toward a recovery-oriented approach in the Swedish mental health system

Carolina Klockmo

#### ARTICLE TOOLS

-  [Print this article](#)
-  [Indexing metadata](#)
-  [How to cite item](#)
-  [Review policy](#)
-  [Email this article](#)
-  [Email the author](#)
-  [Data Tools](#)

Reading Tools

[journals.sfu.ca/dataverse/index.php/demo/rt/suppFiles/1/0](http://journals.sfu.ca/dataverse/index.php/demo/rt/suppFiles/1/0)

### demo Reading Tools

## Data

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### Moving toward a recovery-oriented approach in the Swedish mental health system

#### 1. Replication data for: Moving toward a recovery-oriented approach in the Swedish mental health system

Type:	Data Set
<b>Data Citation:</b>	Carolina Klockmo, 2012, "Moving toward a recovery-oriented approach in the Swedish mental health system", <a href="https://doi.org/10.99999/00000">hdl:99999/00000</a>
Full Record Available In:	<a href="#">COACTION DATAVERSE NETWORK</a>
Data Tools:	<a href="#">INDEXING METADATA</a> <a href="#">ANALYZE</a> <a href="#">DOWNLOAD</a>

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# Data in the Dataverse + Link to Article

Simon Fraser University Dataverse Network >

POWERED BY THE **Dataverse Network** PROJECT v. 3.2

## Co-Action Dataverse

🔍 ⓘ 💬 ⚙️ [Eleni Castro](#) [Log Out](#)

### REPLICATION DATA FOR: MOVING TOWARD A RECOVERY-ORIENTED APPROACH IN THE SWEDISH MENTAL HEALTH SYSTEM

hdl:TEST/10000

**DRAFT Study Version: 1 – No Released Version**

Created: Thu Dec 20 11:30:31 PST 2012 – Last Updated: Thu Dec 20 11:32:18 PST 2012

- [Edit Cataloging Information](#)
- [Add File\(s\)](#)
- [Edit Study Version Notes](#)
- [Release](#)
- [Permissions](#)
- [Create Study Template](#)
- [Delete Draft Version](#)

#### CATALOGING INFORMATION

[Data & Analysis](#)

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[Versions](#)

**i** If you use these data, please add the following citation to your scholarly references. [Why cite?](#)

Data Citation

```
Klockmo, Carolina, 2012-12-13, "Replication data for: Moving toward a recovery-oriented approach in the Swedish mental health system", http://hdl.handle.net/TEST/10000 V1 [Version]
```

Citation Format

Publications

Vulnerable Groups & Inclusion. <http://dx.doi.org/10.3402/vgi.v3i0.18879>  
ID: DOI:10.3402/vgi.v3i0.18879  
[Link](#)

#### Data Citation Details ▾

Study Global ID	hdl:TEST/10000
Authors	Klockmo, Carolina (Department of Health Sciences, Mid Sweden University)
Production Date	December 13, 2012
Contact	Klockmo, Carolina (Department of Health Sciences, Mid Sweden University), <a href="mailto:carolina.klockmo@miun.se">carolina.klockmo@miun.se</a>
Distribution Date	December 13, 2012
Deposit Date	December 13, 2012
Provenance	Co-Action Dataverse

# Progress to-date

**Phase I:** Completed testing with 50+ journals from 6 Publishers.

**Phase II:** Extend testing to >100 journals (2014)





# DATATAGS: SHARING EVEN SENSITIVE DATA WITH CONFIDENCE

A standardized framework for sharing data when data cannot be 100% open

Mercè Crosas, Latanya Sweeney, Michael Bar-Sinai



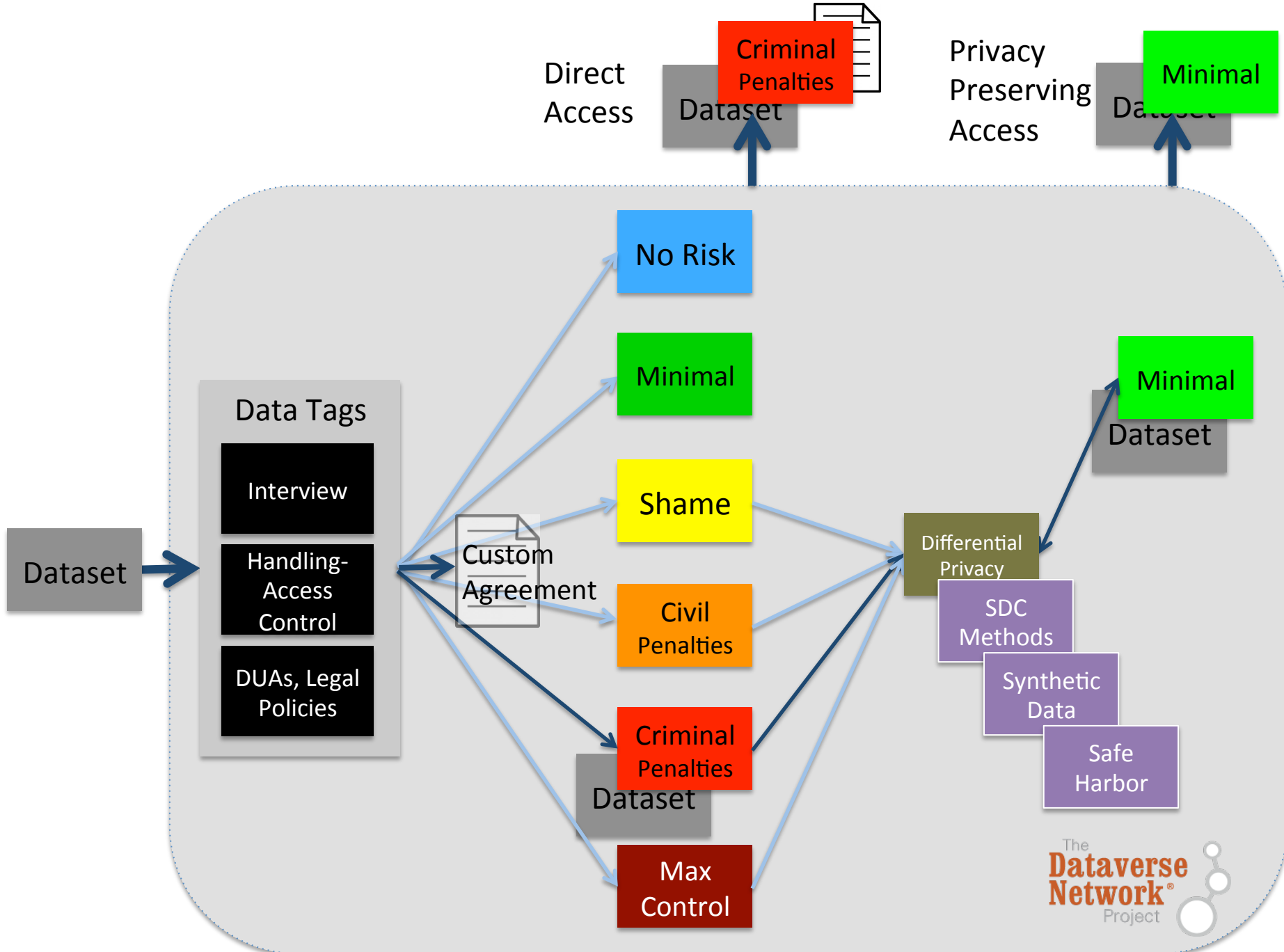
**BERKMAN CENTER**  
AT HARVARD UNIVERSITY



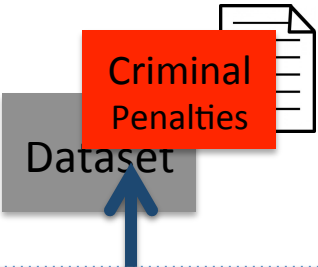
**HARVARD**  
School of Engineering  
and Applied Sciences

<http://privacytools.seas.harvard.edu/>

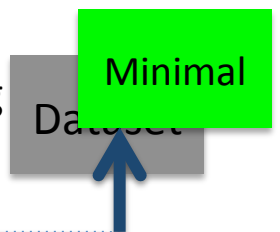
Tag Type	Description	Storage & Transit	Access
Blue	<b>Non-confidential</b> information, stored and shared freely.	Clear	Open
Green	<b>Not harmful</b> personal information, shared with some access control.	Clear	Email, OAuth verified registration
Yellow	<b>Potentially harmful</b> personal information, shared with loosely verified and/or approved recipients.	Clear & Encrypted	Password, Registered, Approval, click-through DUA
Orange	<b>Sensitive</b> personal information, shared with verified and/or approved recipients under agreement.	Encrypted	Password, Registered, Approval, signed DUA
Red	<b>Very sensitive</b> personal information, shared with strong verification of approved recipients under signed agreement.	Encrypted	Two-factor Auth, Registered, Approval, signed DUA
Crimson	<b>Maximum sensitive</b> , explicit permission for each transaction, strong verification of approved recipients under signed agreement.	Double Encrypted	Two-factor Auth, Registered, Approval, signed DUA



Direct Access



Privacy Preserving Access

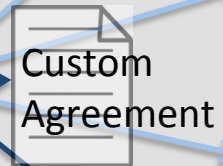


Data Tags

Interview

Handling-Access Control

DUAs, Legal Policies



No Risk

Minimal

Shame

Civil Penalties

Criminal Penalties

**Dataset**

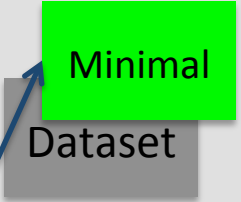
Max Control

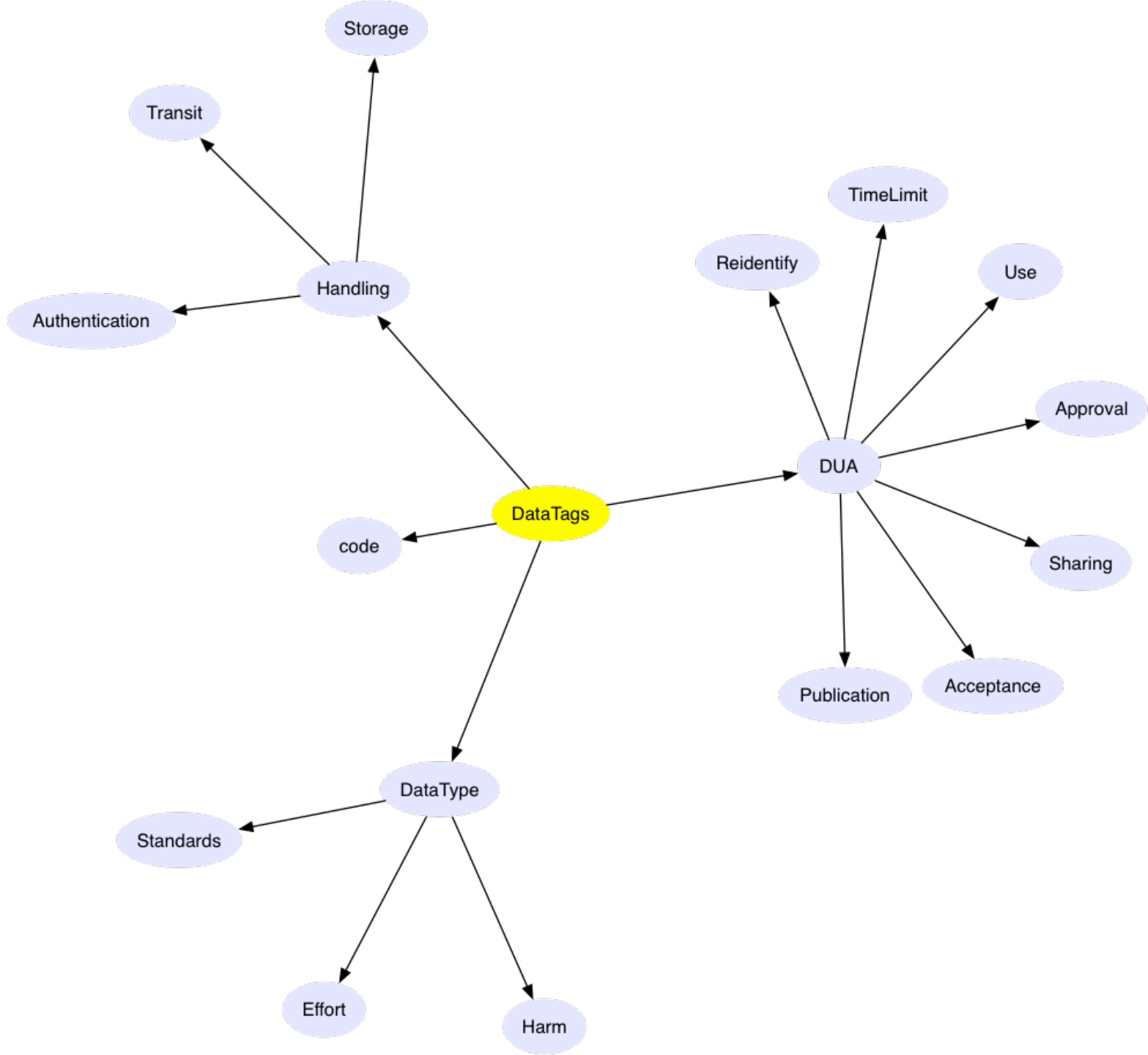
Differential Privacy

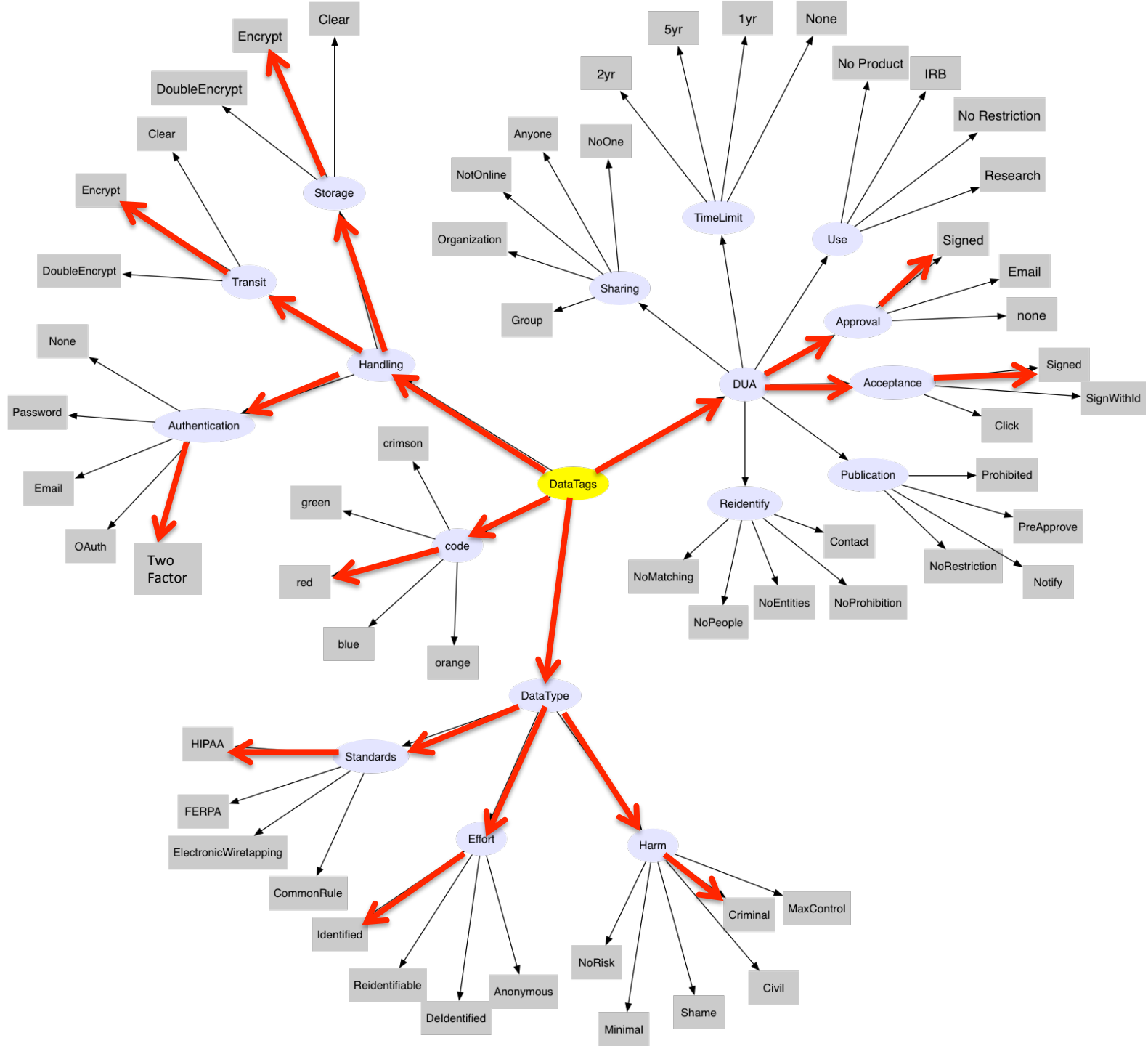
SDC Methods

Synthetic Data

Safe Harbor







# Privacy Laws

- 2187 privacy related laws exist in the United States, which can be grouped in ~ 30 types:

**Explicit consent, medicals records**, student records, arrest and conviction records, bank and financial records, cable television, computer crime, credit reporting, criminal justice, electronic surveillance, employment records, government information on individuals, identity theft, insurance records (including use of Genetic information), library records, mailing lists, special medical records (including HIV testing), non-electronic surveillance, polygraphing in employment, privacy statutes/state constitutions, privileged communications, social security numbers, tax records, telephone services, testing in employment, tracking technologies, voter records.

# Phase I Implementation, Education and Outreach

- **DataTags.org** web application, initially supporting HIPAA regulations:
  - Explicit Consent
  - Medical Records
- Integrate with Secure **Dataverse**
- Alpha, Beta testing with hundreds of Social Scientists
- YouTube videos on how to use it

## **On a parallel track:**

- HIPAA certification
- Pilot at Harvard Medical School and School of Public Health

# DATA TAGS DEMO

<http://datatags.org>

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